

UAE CABINET DECISION NO. 10/2017

UAE ROHS DOCUMENTS CHECKLIST

The following information provides a high-level checklist for companies complying with the UAE RoHS product certification process. The documentation specified below must be provided to the UAE Notified Body (NB) in order to achieve product certification. The NB will review the documentation, and if acceptable, issue the product certification. There are two options: Option 1, ECAS Certification (valid for 1 year), and Option 2, EQM License (valid for 3 years).

OPTION 1: TECHNICAL DOCUMENTS

RoHS Declaration of Compliance (DoC)

- Declarative statement that the product, as described in the document, meets all of the applicable requirements and standards to support compliance. According to the regulation, this document must be provided on a company letterhead and must be signed by a senior representative from the company.

RoHS Material Test Reports

- OPTION 1.1: ECAS RoHS Certificate (RoHS Material testing for the entire product Bill of Materials (BOM))
- Option 1.2: ECAS RoHS Certificate
 - Three items from the product BOM must have material test reports from an ISO 17025 certified materials testing laboratory. These reports must demonstrate that the items meet the Restriction of Hazardous Substances (RoHS) requirements, unless allowed by exemption, as identified in the regulation.
 - Two of the items are selected as "high-risk" for material non-compliance, using the methods specified in IEC 63000.
 - One of the items must be selected where failure would cause an electrical safety hazard.

Risk Assessment Documents

- Documents describing the processes used to evaluate the evidence required to demonstrate that all items contained in the product BOM comply with the Regulation based upon IEC 63000
- Product and assembly drawings showing the relationship between the product, product BOM, and evidence of items contained in the BOM for demonstration of compliance. It is recommended that a CB report is included if applicable



Other Documentation to be Provided to the NB

- Completed Intertek NB Application Form
- Signed Certification Agreement
- Copy of Product Rating Label
- Users Manual in English
- PID Where Applicable
- Document showing relationship of country specific model numbers to the model numbers shown in the DoC and reference documents

UAE Trade License

- Brand Owner or
- Manufacturer or
- Importer

OPTION 2: EQM LICENSE

Onsite Factory Audit Report

- Inspection to be carried out by a NB
- Determination of the manufacturers' risk assessment in compliance with an implemented Quality Control Plan for the management of Restricted Substances as defined in the UAE Government Cabinet Order No. 10, and meeting the requirements of the IEC 63000 standard. This standard provides guidance for "technical documentation for the assessment of electrical and electronic products with respect to the Restriction of hazardous substances" below predefined levels.
- Includes all manufacturing sites for the product unless the RoHS compliance team and all evidence for all factories is available at headquarters or at one of the factories
- Testing of 3 high-risk components are not required as the factory audit will cover the Risk Assessment Process, Procedures and evidence

Other Documentation to be Provided to the NB

- Foreign Trade License
- Declaration of Accountability and Foreign Trade License
 - EQM License can be issued to a foreign entity (unlike ECAS), due to no local presence; Declaration of Accountability is required. The Declaration of Accountability will list the accountability/liability of the foreign entity.

FOR MORE INFORMATION

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